

Annexure- I
Quarterly Compliance Report on Corporate Governance
As per Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015

Name of listed entity	The Peria Karamalai Tea & Produce Company Limited
Quarter ending	30.09.2021

Composition of Board of Directors													
Title (Mr/ Mrs)	Name of the Director	PAN S& DIN	Category (Chairperson/Executive/Non Executive/Independent/Nominee)&	Initial Date of Appointment	Date of appointment in the current term/cessation	Tenure* (In months)	Date of Birth	Whether Special resolution Passed?	Date of Passing Special resolution	No of Directorship in listed entities including this listed entities (in reference to Regulation 17A (1))	Number of Independent directorship in listed entities including this listed entity (Refer Regulation 17A(1) of the Listing Regulations)	Number of membership in Audit/Stakeholder Committee(s) including this listed entity (Refer Regulation 26 (1) of the Listing Regulations)	Number of posts of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26 (1) of the Listing Regulations)
Mr	Lakshmi Niwas Bangur	ADJPB0981H00012617	Chairperson-Non Executive	01.04.1988	01.04.1988	NA	26.08.1949	N.A.	-	2	0	6	2
Mrs	Alka Devi Bangur	ADJPB0980G00012894	Managing Director – Executive	17.09.1993	17.09.2020	NA	28.11.1954	N.A.	-	2	1	2	1
Mr	Shreeyash Bangur	AEBPB8449R00012825	Deputy Managing Director Executive	05.11.2012	05.11.2020	NA	01.06.1980	N.A.	-	2	0	1	0
Mr	H. M Parekh	AFVPP3500N00026530	Non Executive – Independent	23.06.2009	22.09.2019	147	23.03.1937	Yes	09.09.2019	3	3	8	3
Mr	P.R Ramakrishnan	AALPR4945E02715749	Non Executive – Independent	23.06.2009	22.09.2019	147	08.01.1952	Yes	09.09.2019	1	1	2	1
Mr	N.Swaminathan	AKIP55590B02743671	Non Executive – Independent	29.07.2009	22.09.2019	146	25.06.1939	Yes	09.09.2019	1	1	2	0
Mr	Ashok Kumar Bhargava	AHMPB3443P00640248	Non Executive – Independent	08.05.2018	08.05.2018	41	09.09.1956	No	-	1	1	2	0
Mr	Gaurav Jalan	ACSPJ9782E00111070	Non Executive – Independent	10.11.2020	10.11.2020	10	11.11.1972	No	-	1	1	1	0

SPAN number of any director would not be displayed on the website of Stock Exchange

&Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

* To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period

For THE PERIA KARAMALAI TEA & PRODUCE CO. LTD.,


(S.VENUGOPALAKRISHNAN)
Chief Financial Officer



Whether Regular chairperson appointed	Yes	Whether Chairperson is related to MD or CEO	Yes
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II. Composition of Committees					
Name of Committee	Name of Committee Members	Category (Chairperson/Executive/Non Executive/Independent/Nominee)§	Date of Appointment	Date of Cessation	Whether Regular chairperson appointed
1. Audit Committee	Mr.P.R.Ramakrishnan Mr.Lakshmi Niwas Bangur Mr.H.M.Parekh Mr.N.Swaminathan Mr. Ashok Kumar Bhargava	Chairperson - Non Executive Independent Non Executive Non Executive-Independent Non Executive-Independent Non Executive-Independent	23.06.2009	--	Yes
			28.04.2009		
			23.06.2009		
			29.07.2009		
			08.05.2018		
2. Nomination and Remuneration Committee	Mr.P.R.Ramakrishnan Mr.Lakshmi Niwas Bangur Mr. H.M.Parekh Mr.N.Swaminathan	Chairperson -Non Executive Independent Non Executive Non Executive-Independent Non Executive-Independent	23.06.2009	--	Yes
			28.04.2009		
			23.06.2009		
			29.07.2009		
3. Risk Management Committee	Not Applicable	Not Applicable	Not Applicable	Not Applicable	
4. Stakeholders Relationship Committee	Mr.Lakshmi Niwas Bangur Mr.P.R.Ramakrishnan Mr.N.Swaminathan Mr. Ashok Kumar Bhargava	Chairperson-Non Executive Non Executive-Independent Non Executive-Independent Non Executive-Independent	28.04.2009	--	Yes
			23.06.2009		
			21.07.2012		
			08.05.2018		

§ Category of directors means executive/no-executive/independent /nominee. If a director fits into more than one category write all categories separating them with hyphen.

Note:

Since the Corporate Governance as per clause 49 of the listing agreement became applicable to the Company from the financial year 2009-10, the Company was required to constitute/ reconstitute the committees as per requirement of the listing agreement. The Investor Grievance Committee (now Stakeholders Relationship Committee) and Remuneration Committee (now Nomination & Remuneration Committee) was reconstituted w.e.f 23.04.2009, therefore, the date of appointment of Mr. L N Bangur as a member of both the committees is being mentioned as 28.04.2009.

III. Meeting of Board of Directors							
Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between previous quarters	Maximum gap between relevant quarters	Whether requirement of Quorum met (YES/NO) *	Number of Directors Present	Number of Independent Directors Present	
24.04.2021	9.08.2021	-	58	Yes	7	4	
11.06.2021	-	47	-	-	-	-	

*Provide details for current quarter only.

IV. Meeting of Committees						
Name of the Committee	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Whether requirement of Quorum met (YES/NO)	Number of Directors Present	Number of Independent Directors Present	Maximum gap between any two consecutive meetings (in number of days)
Audit Committee	10.06.2021	9.08.2021	YES	4	3	59
	24.04.2021	-	-	-	-	-
Stakeholders Relationship Committee	10.06.2021	9.08.2021	YES	2	1	59
Nomination & Remuneration	10.06.2021	-	-	-	-	-

For THE PERIA KARAMALAI TEA & PRODUCE CO. LTD.,

(S.VENUGOPAL KRISHNAN)
Chairman



Committee					
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* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.


IV. Related Party Transactions	
Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note:


- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words, "NA", may be indicated.
- If status is "No", details of non-compliance may be given here

V. Affirmation	
1.	The Composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2.	The Composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 <ol style="list-style-type: none"> Audit Committee Nomination and Remuneration Committee Stakeholders Relationship Committee
3.	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
4.	The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5.	This report will be placed before the Board of Directors in their next meeting.



For The Peria Karamalai Tea & Produce Company Limited



S Venugopalakrishnan
Chief Financial Officer
Date: 20.10.2021
Place: Coimbatore



Annexure-III
The Peria Karamalai Tea & Produce Co Ltd
Compliance Report to be submitted at the end of 6 months after end of financial year

I. AFFIRMATIONS		
<i>Broad Heading</i>	<i>Regulation Number</i>	<i>Compliance Status (Yes/No/NA) refer note below</i>
Copy of the annual report including balance sheet, profits and loss account, directors report, corporate governance report, business responsibility report displayed on website.	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the Nomination & Remuneration Committee at the Annual General Meeting	19(3)	Yes.
Presence of Chairperson of the Stakeholder Relationship committee at the Annual General Meeting	20(3)	Yes
Whether "Corporate Governance Report" disclosed in the Annual Report	34(3) read with Para C of Schedule V	Yes
<p><i>Note</i></p> <p>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2. If the Listed Entity would like to provide any other information the same may be indicated here:</p>		
<p>For The Peria Karamalai Tea & Produce Company Limited</p> <p> S Venugopalakrishnan Chief Financial Officer Date: 20.10.2021 Place: Coimbatore</p> 		

Format to be submitted twice a year, on a half yearly basis by the listed entity at the end of every 6 months of the financial year

Half year ending - 30.09.2021

I. Disclosure of Loans / guarantees / comfort letters / securities etc. refer note below			
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	Nil	Nil	
Promoter Group or any other entity controlled by them	59,90,00,000	67,74,00,000	
Directors (including relatives) or any other entity controlled by them	Nil	Nil	
KMPs or any other entity controlled by them	Nil	Nil	
(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	Nil	Nil	Nil
Promoter Group or any other entity controlled by them	Nil	Nil	Nil
Directors (including relatives) or any other entity controlled by them	Nil	Nil	Nil

For THE PERIA KARAMALAI TEA & PRODUCE CO. LTD.,


(S.VENUGOPALAKRISHNAN)
Chief Financial Officer



KMPs or any other entity controlled by them			
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(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	Nil	Nil	Nil
Promoter Group or any other entity controlled by them	Nil	Nil	Nil
Directors (including relatives) or any other entity controlled by them	Nil	Nil	Nil
KMPs or any other entity controlled by them	Nil	Nil	Nil

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.

For The Peria Karamalai Tea & Produce Co Ltd

S Venugopalakrishnan
Chief Financial Officer

Date: 20.10.2021



Note

- These disclosures shall exclude any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;
 - by a government company to/ for the Government or government company
 - by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity.
 - by a banking company or an insurance company; and
 - by the listed entity to its employees or directors as a part of the service conditions
- If the Listed Entity would like to provide any other information, the same may be indicated as Para D in the above table.